



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Stefan Gafner, et al. :Group Art Unit:1654
Serial No. 10/655,935 :Examiner:
Filed: September 5, 2003 :
For EXTRACT OF MAD-DOG SKULLCAP

**INFORMATION DISCLOSURE STATEMENT
WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION**

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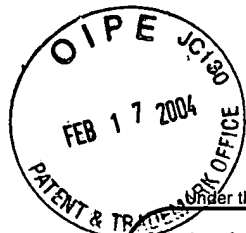
A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98. This paper is submitted in accordance with 37 CFR 1.97(b) (within three (3) months of the application filing date or prior to a first Office Action on the merits).

2/10/04

Date

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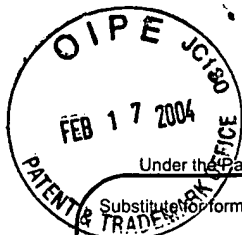
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/655,935
		Filing Date	September 5, 2003
		First Named Inventor	Stefan Gafner
		Art Unit	1654
		Examiner Name	
Sheet 1	of 2	Attorney Docket Number	TOM2809US02

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Napralert Profile for Scutellaria Lateriflora, 7/29/99, citing BURLAGE, HM, Coll. Pharm. Univ. Texas, Austin	
	2	MILLSPAUGH, CF, Scutellaria, American Medicinal Plants, pp. 469-472, Dover Publications, New York	
	3	YAGHMAI, MS, Volatile Constituents of Scutellaria lateriflora L., Flavour and Fragrance Journal, 1988, pp. 27-31, Vol. 3, John Wiley & Sons, Ltd.	
	4	MAURIZIO, B, et al., Neo-Clerodane Diterpenoids From Scutellaria Lateriflora, Phytochemistry, 1998, pp. 687-691, Vol. 48, No. 4, Elsevier Science Ltd., Great Britain	
	5	GAFNER, S, et al., Adulteration of Mad-Dog Skullcap (Scutellaria Lateriflora L.): An Example of the Importance of Classical Pharmacognosy, Abstracts of plenary lectures and posters, 44th Annual Meeting of the American Society of Pharmacognosy, Poster 228, 2003, p. 244, Chapel Hill, NC	
	6	LIAO, JF, et al., Benzodiazepine Binding Site - Interactive Flavones from Scutellaria baicalensis Root, Planta Medica, Vol. 64, 1998, pp. 571-572, National Research Institute of Chinese Medicine, Republic of China	
	7	KWOK, MH, et al., Interaction of Flavones from the Roots of Scutellaria baicalensis with the Benzodiazepine Site, Planta Medica, Vol. 66, 2000, pp. 91-93, Georg Thieme Verlag Stuttgart, New York	
	8	WANG, HY, et al., Structure-Activity Relationships of Flavonoids, Isolated from Scutellaria baicalensis, Binding to Benzodiazepine Site of GABA A Receptor Complex, Planta Med. 2002, Vol. 68, pp. 1059-1062, Georg Thieme Verlag Stuttgart, New York	
	9	GAFNER, S, et al., Inhibition of [3H]-LSD Binding to 5-HT ₇ Receptors by Flavonoids from Scutellaria lateriflora, Journal of Natural Products, 2003, pp. 535-537, Vol. 66., No. 4, American Chemical Society and American Society of Pharmacognosy	
	10	KIM, DH, et al., Intestinal Bacterial metabolism of flavonoids and its relation to some biological activities, Arch Pharm. Res., 1998, Vol. 21, pp. 17-23, summary accessed from Pub Med website	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known**Application Number** 10/655,935**Filing Date** September 5, 2003**First Named Inventor** Stefan Gafner**Art Unit** 1654**Examiner Name****Attorney Docket Number** TOM2809US02

Sheet 2

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	11	AKAO, T, et al., Baicalin, the predominant flavone glucuronide of scutellariae radix, is absorbed from the rat gastrointestinal tract as the aglycone and restored to its original form, J Pharm Pharmacol, 2000, Vol. 52, pp. 1563-1568, summary accessed from Pub Med website	
	12	WOLFSON, PE, et al. An Investigation into the Efficacy of Scutellaria Lateriflora in Healthy Volunteers, Alternative Therapies, Mar/Apr, 2003, Vol. 9, No. 2, 74-78, InnoVision Communications, CA	
	13	LIAO, JF, et al., Anxiolytic-like effects of baicalein and baicalin in the Vogel conflict test in mice, European Journal of Pharmacology 464, 31 January 2003, pp. 141-146, Elsevier Science	

**Examiner
Signature****Date
Considered**

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